

LAB Audit Recommendations and Status Overview

Page #	Section	LAB Recommendation	Status
22	Training Local Election Officials	Promulgate administrative rules that prescribe the contents of training that municipal clerks must provide to election inspectors and special voting deputies.	Need approved scope statement
22	Training Local Election Officials	Report to the Joint Legislative Audit Committee by April 15, 2015, on the status of its efforts to implement this recommendation.	Will submit report
26	Maintenance of Voter Registration Records	Regularly monitor Statewide Voter Registration System records to identify and then contact clerks who have not mailed letters to registrants whose personally identifiable information did not match information held by other agencies.	Preparing report for the March Board Meeting
26	Maintenance of Voter Registration Records	Report to the Joint Legislative Audit Committee by April 15, 2015, on the status of their efforts to implement this recommendation.	Will submit report
29	Maintenance of Voter Registration Records	Review the records of the deceased individuals LAB identified and determine whether any of these individuals' votes were inappropriately cast in FY 2012-13 elections.	In progress
29	Maintenance of Voter Registration Records	Review Statewide Voter Registration System records after each election in order to identify and investigate instances in which votes were cast in the names of individuals who died before Election Day.	Preparing report for the March Board Meeting
29	Maintenance of Voter Registration Records	Report to the Joint Legislative Audit Committee by April 15, 2015, on the status of their efforts to implement these recommendations.	Will submit report
32	Maintenance of Voter Registration Records	Regularly monitor Statewide Voter Registration System records to contact clerks who have not mailed letters to individuals whose voter registration records have been inactivated because of ongoing felony sentences.	Preparing report for the March Board Meeting
32	Maintenance of Voter Registration Records	Review information for the individuals LAB identified whose voter registration records may have been erroneously inactivated and ensure that the relevant clerks have notified the individuals.	In progress
32	Maintenance of Voter Registration Records	Report to the Joint Legislative Audit Committee by April 15, 2015, on the status of their efforts to implement these recommendations	Will submit report
33	Maintenance of Voter Registration Records	Complete in a timely manner the statutorily required reviews to identify individuals with ongoing felony sentences who may have voted.	Completed August 2014
33	Maintenance of Voter Registration Records	Report to the Government Accountability Board on any actions taken by district attorneys against the 33 individuals who may have voted while serving felony sentences.	Preparing report for the March Board Meeting
33	Maintenance of Voter Registration Records	Work with the Department of Corrections to improve the accuracy of information regarding individuals serving felony sentences, including by ensuring that individuals convicted of misdemeanors are not erroneously included in the information that is electronically provided to the Statewide Voter Registration System.	Completed
33	Maintenance of Voter Registration Records	Report to the Joint Legislative Audit Committee by April 15, 2015, on the status of their efforts to implement these recommendations.	Will submit report

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34	Maintenance of Voter Registration Records	Promulgate administrative rules that specify the responsibilities of clerks for maintaining voter registration records in the Statewide Voter Registration System.	Need approved scope statement
34	Maintenance of Voter Registration Records	Report to the Joint Legislative Audit Committee by April 15, 2015, on the status of its efforts to implement this recommendation.	Will submit report
49	Oversight of Campaign Finance Laws	Present to the Government Accountability Board for its approval written procedures specifying penalty amounts to assess on campaign finance entities that do not pay their annual filing fees by January 31.	Preparing report for the March Board Meeting
49	Oversight of Campaign Finance Laws	Report to the Joint Legislative Audit Committee by April 15, 2015, on the status of their efforts to implement this recommendation.	Will submit report
52	Oversight of Campaign Finance Laws	Adhere to the Government Accountability Board's February 2008 penalty schedule for assessing penalties on campaign finance entities that do not file statutorily required campaign finance reports on time.	Now current practice
52	Oversight of Campaign Finance Laws	Report to the Government Accountability Board at least quarterly on all campaign finance reports that were not submitted on time, whether a penalty was assessed for each late report, the amount of each assessed penalty, and the amount of each penalty that was paid and unpaid.	Preparing report for the March Board Meeting
52	Oversight of Campaign Finance Laws	Report to the Joint Legislative Audit Committee by April 15, 2015, on the status of their efforts to implement these recommendations.	Will submit report
54	Oversight of Campaign Finance Laws	Adhere to the Government Accountability Board's February 2008 penalty schedule when assessing penalties for campaign contributions in violation of statutory limits.	Now current practice
54	Oversight of Campaign Finance Laws	Track centrally all penalties assessed for violations of campaign finance contribution limits and use the information to report to the Government Accountability Board at least quarterly on all violations of campaign finance contribution limits, whether a penalty was assessed for each violation or a written warning was provided in lieu of a penalty, the amount of each assessed penalty, and the amount of each penalty that was paid and unpaid.	Under development
55	Oversight of Campaign Finance Laws	Report to the Joint Legislative Audit Committee by April 15, 2015, on the status of their efforts to implement these recommendations.	Will submit report
56	Oversight of Campaign Finance Laws	Publish on the Government Accountability Board's website summaries of all confidential advisory opinions issued related to compliance with campaign finance laws.	Completed

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56	Oversight of Campaign Finance Laws	Report to the Joint Legislative Audit Committee by April 15, 2015, on the status of their efforts to implement this recommendation.	Will submit report
59	Oversight of Lobbying Laws	Determine whether to revoke the existing licenses of lobbyists who are delinquent in paying state taxes or court-ordered child or family support payments.	Preparing report for the March Board Meeting
59	Oversight of Lobbying Laws	Report to the Joint Legislative Audit Committee by April 15, 2015, on the status of their efforts to implement this recommendation.	Will submit report
64	Oversight of Lobbying Laws	Adhere to the Government Accountability Board's February 2008 penalty schedule when assessing penalties.	Now current practice
64	Oversight of Lobbying Laws	Track centrally all penalties assessed for violations of lobbying laws, all penalties waived and the reasons for waiving them, and all written warnings provided in lieu of assessing penalties and the reason for each written warning and use the information to report to the Government Accountability Board at least quarterly on the number of violations of each lobbying law, whether a penalty was assessed for each violation, the amount of each assessed and waived penalty, and the amount of each penalty that was paid and unpaid.	Under development
64	Oversight of Lobbying Laws	Prohibit principals that have not filed timely semiannual expense statements from allowing lobbyists to lobby on their behalf or request that the Legislature modify this provision.	Preparing report for the March Board Meeting
64	Oversight of Lobbying Laws	Report to the Joint Legislative Audit Committee by April 15, 2015, on the status of their efforts to implement these recommendations.	Will submit report
65	Oversight of Lobbying Laws	Include in the weekly reports to the Legislature while the Legislature is in session all statutorily required information about lobbying activities or request that the Legislature modify statutes to allow these reports to exclude information that is publicly available on the Eye on Lobbying website.	Information provided electronically pursuant to agreement with Legislative Clerks
65	Oversight of Lobbying Laws	Include in the biennial reports to the Legislature all statutorily required information.	Will complete
65	Oversight of Lobbying Laws	Report to the Joint Legislative Audit Committee by April 15, 2015, on the status of their efforts to implement these recommendations.	Will submit report
67	Oversight of Lobbying Laws	Publish on the Government Accountability Board's website summaries of all confidential advisory opinions issued related to compliance with lobbying laws.	Completed
67	Oversight of Lobbying Laws	Report to the Joint Legislative Audit Committee by April 15, 2015, on the status of their efforts to implement this recommendation.	Will submit report

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73	Oversight of Code of Ethics Laws	Develop written policies for granting individuals extensions to the deadline for filing statements of economic interests and comply with statutes by setting forth in writing the reasons for granting each extension.	Preparing report for the March Board Meeting
73	Oversight of Code of Ethics Laws	Promptly notify the Department of Administration and the employing agency when an individual does not file a statement of economic interests on time.	Preparing report for the March Board Meeting
73	Oversight of Code of Ethics Laws	Track centrally how often they assess penalties on individuals who have not filed statements of economic interests on time and the amounts of the assessed penalties and use this information to report to the Government Accountability Board at least quarterly on the extent to which statements were not filed on time, whether a penalty was assessed for each violation, the amount of each penalty assessed, and the amount of each penalty that was paid and unpaid.	Under development
73	Oversight of Code of Ethics Laws	Adhere to the Government Accountability Board's February 2008 penalty schedule when assessing penalties on individuals who do not file statements of economic interests on time.	Now current practice
73	Oversight of Code of Ethics Laws	Present to the Government Accountability Board for its approval policies indicating when staff should not attempt to collect penalties that have been assessed on individuals who do not file statements of economic interests on time.	Preparing report for the March Board Meeting
74	Oversight of Code of Ethics Laws	Report to the Joint Legislative Audit Committee by April 15, 2015, on the status of their efforts to implement these recommendations.	Will submit report
87	Complaints	Present to the Government Accountability Board for its approval written policies for considering complaints filed with the Ethics and Accountability Division and the Elections Division.	Preparing report for the March Board Meeting
87	Complaints	Maintain complete, centralized information about all complaints received and inquiries undertaken, including the resolution of these issues.	Under development
87	Complaints	Report regularly to the Government Accountability Board on the status and resolution of all inquiries.	Preparing report for the March Board Meeting
87	Complaints	Report to the Joint Legislative Audit Committee by April 15, 2015, on the status of their efforts to implement these recommendations.	Will submit report
92	Administrative Rules	Promulgate all required administrative rules.	In progress
92	Administrative Rules	Remove from the Administrative Code the eight rules that are not in effect because it did not vote to adopt them.	In progress
92	Administrative Rules	Require its staff to report to it regularly on the status of efforts to promulgate administrative rules and remove from the Administrative Code rules that are not in effect.	Preparing report for the March Board Meeting

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92	Administrative Rules	Report to the Joint Legislative Audit Committee by April 15, 2015, on the status of its efforts to implement these recommendations, including a schedule for promulgating each statutorily required administrative rule.	Will submit report