NOTICE OF OPEN AND CLOSED MEETING
Wisconsin Elections Commission
Special Teleconference-Only Meeting
Thursday, July 30, 2020
4:00 P.M.

Due to the COVID-19 pandemic, this meeting is being held via video teleconference only. Members of the public and media may attend online or by telephone. Please visit https://elections.wi.gov/node/6970 *for login/call-in information. All public participants' phones/microphones will be muted during the meeting. Members of the public wishing to communicate to the Commissioners should email* elections@wi.gov *with "Message to Commissioners" in the subject line.*

―――――――――――――――――――――――――――――――――――――――

AGENDA

A.   Call to Order

B.   Administrator's Report of Appropriate Meeting Notice

C.   Approval of County Election Security Grants   2

D.   Approval of Revised Uniform Instructions for Absentee Voting   67

E.   Update on Absentee by Mail Improvements   74

F.   Closed Session

     1.   Election Complaints
     2.   Litigation Update

     §19.851 - The Commission's discussions concerning violations of election law shall be in closed session.

     §19.85(1)(g) – The Commission may confer with legal counsel concerning litigation strategy.

G.   Adjourn

Wisconsin Elections Commission

212 East Washington Avenue | Third Floor | P.O. Box 7984 | Madison, WI 53707-7984
(608) 266-8005 | elections@wi.gov | elections.wi.gov

_____

DATE: For the July 30, 2020 meeting of the Commission

TO: Wisconsin Elections Commission

FROM: Meagan Wolfe
               Administrator

SUBJECT: **County HAVA Security Sub-Grant Approval Recommendations**

Background

At its June 10 meeting, the Commission approved a sub-grant program to county election offices using the 2020 HAVA security grant funds. Under the program adopted by the Commission, counties could apply for a base grant of $35,000 plus $.30 per eligible voter. To apply for the grant, counties were required to submit a proposal to Commission staff by July 15, 2020. The proposals needed to outline how the counties would use the funds to improve their election security posture. The proposal was also required to outline if the county's compliance with the baseline requirements of the grant or how the county would use the funds to become compliant. There were three baseline requirements 1) that the county have, or use requested funds to, upgrade to an HTTPS protocol for their website, 2) that the county have, or use requested funds to upgrade to, a secure email service, and 3) that the county conduct, or use requested funds to conduct, a deliberate cybersecurity assessment of their election related systems.

The Commission further directed that staff to review county applications and provide the Commission with recommendations for approval at a future meeting. A summary of the county applications and WEC staff recommendations can be found below. Detailed application information can be found as an attachment to this memo.

Overview of Applications

As directed by the Commission, subgrant applications were due on July 15, 2020. WEC received requests from 59 counties totaling $4,215,866.90. The maximum initial grant award for the 59 counties combined is $3,353,096.20, meaning some counties included items over their maximum grant award with the understanding additional funds could become available in the future. Thirteen counties did not apply, WEC staff contacted those counties upon the deadline to confirm this was intentional.

Counties requested a diverse set of security improvements and outlined in their applications opportunities to use the funds to address the unique election security needs of their county. Of the 59 counties who applied, 41 requested funds to complete a security assessment. Many counties also requested funds related to voting equipment or election management systems, intrusion detection systems, and firewall upgrades. Attached for the Commission's review is a summary of each county's application along with a spreadsheet that details the categories of each application and the amount requested by each county. The following table summarizes the broad categories of improvements identified, and the total amount requested in each.

Wisconsin Elections Commissioners
Ann S. Jacobs, chair | Marge Bostelmann | Julie M. Glancey | Dean Knudson | Robert Spindell | Mark L. Thomsen

_____
Administrator
Meagan Wolfe

2

Category of Security Improvement	Total Amount Requested in all 59 Applications
Voting Equipment and Election Management Systems	$1,009,000
Security Assessments	$703,000
Intrusion Detection Systems	$510,000
SIEM and Endpoint Security	$425,000
Firewall Upgrades	$355,000

Counties who applied: Barron, Bayfield, Brown, Buffalo, Calumet, Chippewa, Clark, Columbia, Dane, Dodge, Door, Douglas, Dunn, Eau Claire, Florence, Fond Du Lac, Green, Green Lake, Iron, Jackson, Jefferson, Juneau, Kenosha, Kewaunee, La Crosse, Lafayette, Langlade, Lincoln, Marathon, Manitowoc, Marinette, Marquette, Milwaukee, Oconto, Oneida, Outagamie, Ozaukee, Polk, Portage, Price, Racine, Rock, Rusk, Sauk, Sawyer, Shawano, Sheboygan, St. Croix, Trempealeau, Vernon, Vilas, Walworth, Washburn, Washington, Waukesha, Waupaca, Waushara, Winnebago, and Wood

Counties who did not apply: Adams, Ashland, Burnett, Crawford, Forest, Grant, Iowa, Menomonee, Monroe, Pepin, Pierce, Richland, and Taylor

Items for Further Discussion

In staff's review of county applications, seven county applications were identified for further discussion. These applications were timely but either contained requests outside of the scope of the grant or require additional detail about how the proposal will improve the election cyber security posture of the county. WEC staff recommends that the Commission direct staff to continue working with these counties to obtain additional details about their proposal and to help them refine their proposals so that they are within the acceptable terms of the grant. Modified applications would then be presented to the Commission at a future meeting for consideration. The chart below outlines points for further discussion with the seven counties identified.

County	Item for Further Discussion
Iron	Additional discussion needed on plans to upgrade their website to HTTPS to meet base requirement of the grant.
La Crosse	Additional information needed on how the purchase of ExpressVote ballot marking device will improve the election security posture of the county.
Langlade	Additional information needed on how the purchase of new voting machines (not specified) and structural remodeling will improve the election security posture of the county.
Marathon	Additional information needed on how changes to modeming software and election management system will improve the election security posture of the county. While these items need clarification, the rest of the application is recommended for approval.
Milwaukee	Additional information needed on how the purchase of ExpressVote ballot marking devices will improve the election security posture of the county.
Waupaca	Additional information needed on how the purchase of emergency ballot printing system will improve the election security posture of the county. While this item need clarification, the rest of the application is recommended for approval.
Waushara	Additional discussion needed to understand request for video surveillance system for the county and whether it is allowable under federal grant and how it improves the election security posture of the county.

Recommended Motions

Motion #1

The WEC approves the grant applications, as presented, for the 50 counties listed and partial approval for two counties listed. WEC further directs staff to allocate the funds requested (up to $35,000 base and $.30/eligible voter) to the 52 counties listed.

Counties: Barron, Bayfield, Brown, Buffalo, Calumet, Chippewa, Clark, Columbia, Dane, Dodge, Door, Douglas, Dunn, Eau Claire, Florence, Fond Du Lac, Green, Green Lake, Jackson, Jefferson, Juneau, Kenosha, Kewaunee, Lafayette, Lincoln, Manitowoc, Marathon (partial), Marinette, Marquette, Oconto, Oneida, Outagamie, Ozaukee, Polk, Portage, Price, Racine, Rock, Rusk, Sauk, Sawyer, Shawano, Sheboygan, St. Croix, Trempealeau, Vernon, Vilas, Walworth, Washburn, Washington, Waukesha, Waupaca (partial), Winnebago, and Wood

Motion #2

WEC directs staff to work with the seven counties identified for further discussion to obtain more information about how their proposal will improve their county's election cybersecurity posture and/or to modify their application to meet the terms of the grant. Modifications to the proposals will be considered by the Commission at a future meeting.

Counties: Iron, La Crosse, Langlade, Marathon (partial), Milwaukee, Waupaca (partial), and Waushara

4

Term	Definition
Backups	Hardware, software, or services required to retain complete copies of data for disaster recovery. A fundamental cybsersecurity measure since it is often the only way to remediate a successful cyberattack.
E-Mail Security	Hardware, software, or services required to safeguard e-mail content and prevent unauthorized access. Many jurisdictions seek to implement DMARC (Domain-based Messaging, Authentication, and Conformance) protocols to protect e-mail domains. DMARC is highly recommended by CISA and other cybersecurity authorities.
Firewall	Hardware, software, or services required to monitor and regulate network traffic and prevent unauthorized access. May be integrated with intrusion detection systems. Essential network security.
Hardware	Office computer hardware (typically laptop or desktop computers) for county clerks and election staff to create redudancy and/or enable work-from-home protocols.
IDS	Intrusion Detection System. A system to identify and report malicious network events. The "Albert Sensor" is the system available to state and local governments through the Center for Internet Security (CIS). The CIS is contracted with the Department of Homeland Security to provide this service.
MFA	Multi-Factor Authentication. A system for identity access management that requires multiple credentials. MFA makes it harder for criminals to gain access to protected systems. Sometimes called 2FA for two factor authentication. Highly recommended for all systems.
Mitigation	Any funds explicitly reserved to mitigate risks and vulnerabilities identified in the cybersecurity assessment.
Other	Any request not captured by one of the other terms here. Explained in the comments.
Physical Security	Equipment used to enhance the physical security of computers, voting equipment, ballots, results, spaces, or personnel. Commonly requested items included safes, secure cases and bags, cameras or other electronic monitoring equipment.
Security Assessment or Pen Tests	Cybersecurity risk and vulnerability assessments or penetration testing. Applies one of the following cybersecurity standards: CISA/NIST Cybersecurity Framework (CSF); ISO 27000 Family of Standards (ISO27k); or CIS Critical Security Controls (CIS Controls).
Security Training	Cybersecurity training for county elections staff and poll workers. May include phishing simulations. The most fundamental of security measures, since average users are the most common vector for cybsecurty breaches.
Servers & Network Devices	Computer hardware and software storing network data and managing network services. Server upgrades are generally required to support Albert Sensors. See IDS above.
SIEM or Endpoint Security	Security Information and Event Management. Real time event monitoring and alerts that generally includes detailed log management, information management, automated alerts, and functions to support data aggregation, analysis, and retention. May include services such as anti-virus, anti-malware, authentication, and other processes generally associated with endpoint (user device) security.
Voting Equipment	Hardware produced by the major elections vendors to tabulate votes. Includes supporting systems such as printers, computers, network devices, etc.
Voting Software	Software produced by the major elections vendors to tabulate votes. Includes software necessary to support Voting Equipment as defined above.

County	Total Amount Requested	Maximum Allocation Allowance ($35k base + $.30 per eligbile voter calculation)	Estimated/Actual Expenses and Amount Requested in 1,000s (see definitions page)														
			SIEM or Endpoint Security	IDS	E-Mail Security	Backups	Firewall	Servers & Network Devices	MFA	Hardware	Voting Equipment	Voting Software	Physical Security	Security Assessment or Pen Tests	Security Training	Other	Mitigation
ADAMS COUNTY																	
ASHLAND COUNTY																	
BARRON COUNTY	45,996.20	45,996.20	$13.5	$22.2										$12.9			
BAYFIELD COUNTY	38,771.30	38,771.30													$13.0		
BROWN COUNTY	94,787.90	94,787.90	$22.0		$5.0	$7.5	$0.8		$38.0	$5.0	$6.5	$10.0	$1.5	$5.0			
BUFFALO COUNTY	37,400.00	38,238.80	$16.0							$2.3			$14.2	$5.0	$1.9		TBD
BURNETT COUNTY																	
CALUMET COUNTY	46,573.00	46,572.80			$15.6									$29.3	$1.6		
CHIPPEWA COUNTY	47,700.00	50,068.10											$8.7	$19.0			$20.0
CLARK COUNTY	42,033.00	42,482.00		$33.6			$6.2			$2.2							
COLUMBIA COUNTY	49,900.00	48,340.70			$0.6		$1.4		$1.2	$10.7				$13.0		$2.9	$20.0
CRAWFORD COUNTY																	
DANE COUNTY	157,300.00	162,688.10		$13.8	$16.8	$6.0	$10.7	$110.0									
DODGE COUNTY	66,923.00	56,317.70	$5.0			$22.0	$1.5			$1.1	$1.4	$7.0	$0.8	$28.0			
DOOR COUNTY	43,000.00	42,119.30	$5.5					$27.5						$10.0			
DOUGLAS COUNTY	45,614.00	45,614.30	TBD											$10.0			$30.0
DUNN COUNTY	62,539.75	45,749.00	$19.8	$36.5									$6.5				
EAU CLAIRE COUNTY	43,025.00	59,712.80	$14.0		$4.0									$24.9			
FLORENCE COUNTY	36,122.00	36,121.70												$20.0			$16.0
FOND DU LAC COUNTY	121,525.00	59,550.80					$107.5							$14.0			
FOREST COUNTY																	
GRANT COUNTY																	
GREEN COUNTY	43,527.80	43,527.80			$25.0												
GREEN LAKE COUNTY	35,762.54	39,495.50	$8.1	$14.7		$8.9							$4.0				
IOWA COUNTY																	
IRON COUNTY	36,494.60	36,494.60					$10.0			$5.0			$5.0	$10.0		$5.0	
JACKSON COUNTY	311,176.00	39,896.00		$14.7							$203.8		$25.0	$28.0			
JEFFERSON COUNTY	58,250.00	54,577.40	$58.2														
JUNEAU COUNTY	100,757.84	41,517.80	$54.9					$18.0		$3.7			$11.9	$9.0			
KENOSHA COUNTY	112,300.00	73,397.30												$25.0			$86.0
KEWAUNEE COUNTY	49,076.24	39,823.10					$2.7	$19.4						$27.0			
LA CROSSE COUNTY	186,792.00	63,505.40								$3.6	$117.0	$66.1					
LAFAYETTE COUNTY	38,829.20	38,829.20			$2.2									$15.0			$15.0
LANGLADE COUNTY	39,816.20	39,816.20									$144.0	$35.0					
LINCOLN COUNTY	195,153.40	41,873.30			$0.3		$158.9							$12.5	$17.4		$6.0
MANITOWOC COUNTY	54,260.00	54,260.60			$3.0								$3.4	$20.0			$27.8
MARATHON COUNTY	66,306.80	66,306.80					$1.1			$8.9				$25.0			$31.3
MARINETTE COUNTY	45,449.00	44,991.20	$16.7	$14.7										$14.0			
MARQUETTE COUNTY	38,740.70	38,740.70												$13.5			$25.2
MENOMINEE COUNTY																	
MILWAUKEE COUNTY	258,518.50	250,893.50									$258.5						
MONROE COUNTY																	
OCONTO COUNTY	41,326.12	44,133.80	$2.6	$22.1			$3.3			$3.2				$5.7	$4.2		
ONEIDA COUNTY	60,950.00	43,993.10			$25.5		$7.0	$7.0						$21.5			
OUTAGAMIE COUNTY	104,400.00	77,562.50	$39.0		$4.0	$16.4	$14.0	$30.0									
OZAUKEE COUNTY	53,925.00	55,877.30			$18.6									$9.9	$24.0		
PEPIN COUNTY																	
PIERCE COUNTY																	
POLK COUNTY	41,689.40	45,317.60	$19.9	$18.7										$3.0			
PORTAGE COUNTY	52,233.20	52,233.20												$20.0	$32.2		
PRICE COUNTY	40,327.00	38,496.80							$14.0					$16.4	$9.8		
RACINE COUNTY	79,907.30	79,907.30												$25.0			$54.0
RICHLAND COUNTY																	
ROCK COUNTY	111,772.92	71,535.80		$75.9		$11.7	$1.0			$3.5	$6.5	$10.0	$3.0				

County	Total Amount Requested	Maximum Allocation Allowance ($35k base + $.30 per eligbile voter calculation)	Estimated/Actual Expenses and Amount Requested in 1,000s (see definitions page)														
			SIEM or Endpoint Security	IDS	E-Mail Security	Backups	Firewall	Servers & Network Devices	MFA	Hardware	Voting Equipment	Voting Software	Physical Security	Security Assessment or Pen Tests	Security Training	Other	Mitigation
RUSK COUNTY	44,000.00	38,516.60					$21.0							$18.0	$5.0		
SAUK COUNTY	45,000.00	49,646.00												$10.0			$35.0
SAWYER COUNTY	39,647.00	39,065.00		$14.7	$1.0			$12.8						$11.0			
SHAWANO COUNTY	41,208.00	44,801.60		$28.5					$1.5					$8.3	$2.9		
SHEBOYGAN COUNTY	68,500.00	61,966.70		$28.5										$40.0			
ST. CROIX COUNTY	56,400.00	54,858.80		$29.4				$15.0						$12.0			
TAYLOR COUNTY																	
TREMPEALEAU COUNTY	41,903.30	41,903.30												$20.0			$21.4
VERNON COUNTY	41,795.30	41,795.30				$52.4			$5.5		$249.1						
VILAS COUNTY	40,447.70	40,447.70												$15.0	$25.4		
WALWORTH COUNTY	52,229.80	59,229.80			$10.0									$20.0			$29.2
WASHBURN COUNTY	30,260.00	38,881.40		$22.2			$8.0										
WASHINGTON COUNTY	66,290.68	66,545.90	$46.6	$19.7													
WAUKESHA COUNTY	128,650.00	128,688.80	$10.0	$50.0		$19.3				$1.3		$2.3	$6.7	$35.0	$4.0		
WAUPACA COUNTY	75,882.20	47,307.50	$22.4	$22.1								$1.8			$9.6		$19.7
WAUSHARA COUNTY	40,979.60	40,979.60	$15.0										$52.9		$16.6		
WINNEBAGO COUNTY	75,614.00	75,614.00	$23.0		$43.4			$8.8		$1.5	$12.0				$9.5		
WOOD COUNTY	90,107.41	52,712.90	$13.5	$28.5		$5.8	$0.5		$10.8						$30.9		
TOTALS	4,215,866.90	3,353,096.20	425.70	510.50	175.00	150.00	355.60	248.50	69.50	44.60	1,009.50	95.40	178.60	703.50	210.10	2.90	441.90

7

County: Barron	Maximum Allocation:	$ 45,996.20
	Amount Requested:	$ 48,754.20

Required Documents	
1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications	
3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ 13,594.20	Yes	SIEM solution installation and 3 yrs service
7. IDS	$ 22,260.00	Yes	Albert Network Monitoring
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 12,900.00	Yes	External.
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:
Barron County has had a security assessment completed in the past year. The security was limited in nature and thus Barron County will be requesting additronal funds to expand on that assessment in order to have a more complete assessment completed.
We appreciate this opportunity for the grant money.

County: Bayfield	Maximum Allocation:	$ 38,771.30
	Amount Requested:	$ 38,771.30

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 13,000.00	Yes	External.
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ 25,771.30	Yes	Unallocated funds must be returned.

Supplemental Information:

9

County: Brown		
Maximum Allocation:	$	94,787.90
Amount Requested:	$	101,300.00

Required Documents	
1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications	
3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ 22,000.00	Yes	Multi-year monitoring solution.
7. IDS	$ -		
8. E-Mail Security	$ 5,000.00	Yes	Implement DMARC
9. Backups	$ 7,500.00	Yes	Encrypted USB memory devices
10. Firewall	$ 800.00	Yes	Minor hardware upgrade
11. Servers & Network Devices	$ -		
12. MFA	$ 38,000.00	Yes	Implement MFA to secure user accounts
13. Hardware	$ 5,000.00	Yes	Dedicated elections computers
14. Voting Equipment	$ 6,500.00	Yes	Backup DS-200
15. Voting Software	$ 10,000.00	Yes	Update ES&S EV 6.0.5.0. software
16. Physical Security	$ 1,500.00	Yes	Keycard locks
17. Security Assessment or Pen Tests	$ 5,000.00	Yes	External.
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -	Yes	Unallocated funds must be returned.

Supplemental Information:

One of the largest election security measures that Brown County implements on Election Day is the availability of back-up voting equipment in the event of mechanical failure. If a voting machine does fail on Election Day, the alternative method of hand counting opens the door for numerous manual errors and eliminates the positive advancements that the tabulation equipment provides. As such, the purchase of additional DS-200(s) is another tool in the toolbox for an emergency that is encountered on Election Day and will allow the security measures taken in election coding to be seen through properly. Please note that the above figures are estimates only and funding will be adjusted as needed. Any further vulnerable IT developments that are identified in the ongoing assessments would be given priority for future allotments. Any remaining funds would be allocated for discovered IT vulnerabilities, or for training and network testing as identified.

County: Buffalo		
Maximum Allocation:	$	38,238.80
Amount Requested:	$	37,400.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ 2,300.00	Yes	Laptop to support remote work
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ 14,200.00	Yes	Security gate and keypad locks
17. Security Assessment or Pen Tests	$ 16,000.00	Yes	External
18. Security Training	$ 1,900.00	Yes	Supplies for local training program
19. Other	$ -		
20. Mitigation	$ 3,000.00	Yes	Funds reserved for findings

Supplemental Information:

If there would be any funds available after all other county reqeusts have been made, I would ask that the WEC look at allowing funds to be used for updated voting machines. Our machines are from 2006 and have reached the end of their life. Back in 2006 there were funds available for that purchase and as of right now there are no funds available to help with updating voting equipment. It is very important to have updated voting equipment to ensure that votes are kept safe and secure. Technology has come such a long way since 2006 and I feel new voting machines would be a good purchase towards making our elections secure for both the voters and candidates.

County: Calumet	Maximum Allocation:	$ 46,572.80
	Amount Requested:	$ 46,573.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ 15,600.00	Yes	Impement DMARC and other improvements
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 29,345.00	Yes	External.
18. Security Training	$ 1,628.00	Yes	One time training for election staff
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

County: Chippewa	Maximum Allocation:	$ 47,700.00
	Amount Requested:	$ 47,700.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ 8,700.00	Yes	Camera and new locks for election storage
17. Security Assessment or Pen Tests	$ 19,000.00	Yes	External
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ 20,000.00	Yes	Reserved funds for assessment findings.

Supplemental Information:

Chippewa County is currently a provider of WisVote services to 28 municipalities and we spend a significant amount of time in the WisVote system. Therefore, we want to make sure that we are doing everything we can to minimize the risk of a breach/attack coming through Chippewa County.

Thank you so much for your consideration and your service to the Wisconsin Elections Commission.

County: Clark	Maximum Allocation:	$	42,482.00
	Amount Requested:	$	42,054.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ 33,521.00	Yes	Albert Network Monitoring
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ 6,279.00	Yes	Network security appliance
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ 2,254.00	Yes	Replace outdated clerk computers (2)
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ -		
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

Clark County IT has performed regular security self-audits on technology equipment and software to insure we continue to maintain a defense-in-depth approach to network and data security and integrity. We strive to align with both State and Federal regulations as they pertain to data privacy, integrity, and cyber security.
Thank you for your consideration.

14

County: Columbia		Maximum Allocation:	$	48,340.70
		Amount Requested:	$	49,900.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ 600.00	Yes	Implement DMARC
9. Backups	$ -		
10. Firewall	$ 808.00	Yes	ASA Firewall
11. Servers & Network Devices	$ 632.00	Yes	Switch addition
12. MFA	$ 1,200.00	Yes	Acquire fobs for MFA
13. Hardware	$ 10,735.00	Yes	Laptops to support remote work
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 13,000.00	Yes	Self assessment.
18. Security Training	$ -		
19. Other	$ 2,925.00	Abstain	Cell phone (5 year agreement)
20. Mitigation	$ 20,000.00	Yes	Unallocated funds must be returned.

Supplemental Information:

Thank you for your consideration and the opportunity to apply for the subgrant funds to improve the overall election security in Columbia County!

County: Dane		
Maximum Allocation:	$	162,688.10
Amount Requested:	$	157,300.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount		Recommend Approval?	Comments
6. SIEM or Endpoint Security	$	-		
7. IDS	$	13,800.00	Yes	Albert Network Monitoring
8. E-Mail Security	$	16,800.00	Yes	E-mail encryption services
9. Backups	$	6,000.00	Yes	
10. Firewall	$	10,700.00	Yes	
11. Servers & Network Devices	$	110,000.00	Yes	Server additions for redundancy
12. MFA	$	-		
13. Hardware	$	-		
14. Voting Equipment	$	-		
15. Voting Software	$	-		
16. Physical Security	$	-		
17. Security Assessment or Pen Tests	$	-		
18. Security Training	$	-		
19. Other	$	-		
20. Mitigation	$	-		

Supplemental Information:

Dane County requests election security grant funding under the HAVA program approved by Congress and allocated to the Wisconsin Elections Commission. Dane County has made significant investments in election security and the security of our network over the past two years including a comprehensive security audit by Achilles Shield in 2019. In addition my office developed a compreehensive list of security procedures, facilities, and equipment to improve election security.

County: Dodge	Maximum Allocation:	$ 56,317.70
	Amount Requested:	$ 66,923.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ 5,000.00	Yes	Vulnerability assement solution
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ 22,000.00	Yes	Data loss protection solution
10. Firewall	$ 1,550.00	Yes	
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ 1,163.00	Yes	Backup laptop for elections
14. Voting Equipment	$ 1,400.00	Yes	ESS Verizon Cradlepoint
15. Voting Software	$ 7,000.00	Yes	Upgrade from 3G to 4G EMS
16. Physical Security	$ 810.00	Yes	Keycard lock for elections storage
17. Security Assessment or Pen Tests	$ 28,000.00	Yes	External w/Pen Test
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

Thank you for your consideration.

County: Door	Maximum Allocation:	$ 42,119.30
	Amount Requested:	$ 43,000.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ 5,500.00	Yes	With managed service
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ 27,500.00	Yes	SuperMicro storage server
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 10,000.00	Yes	External
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

Thank you for your time and consideration.

County: Douglas	Maximum Allocation:	$ 45,614.30
	Amount Requested:	$ 45,614.30

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 15,000.00	Yes	External
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ 30,614.30	Yes	Will apply remaining funds, if any, to IDS

Supplemental Information:

Douglas County is requesting the full amount of $45,614.00 in available grant funds through the WEC Election Security Subgrant Program for Wisconsin Counties.

County: Dunn	Maximum Allocation:	$ 45,749.00
	Amount Requested:	$ 62,539.75

Required Documents
1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications
3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ 19,874.75	Yes	TrendMicro XDR and Tenable Nessus
7. IDS	$ 36,500.00	Yes	Albert Network Monitoring
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ 6,165.00	Yes	Cameras for elections storage and clerk
17. Security Assessment or Pen Tests	$ -		
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:
Requests based on Dunn County's 2020 internal security assessment. None of these items are currently budgeted for by Dunn County.

County: Eau Claire	Maximum Allocation:	$ 59,712.80
	Amount Requested:	$ 42,025.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ 6,000.00	Yes	Splunk training for staff
7. IDS	$ -		
8. E-Mail Security	$ 3,050.00	Yes	Analysis and hardening
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ 8,000.00	Yes	Licensing costs and hardware
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 24,975.00	Yes	Internal and external pen tests
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

| County: Florence | Maximum Allocation: | $ | 36,121.70 |
| | Amount Requested: | $ | 36,122.00 |

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 20,000.00	Yes	External
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ 16,122.00	Yes	Unallocated funds must be returned.

Supplemental Information:

Thank you for the opportunity to apply for the Elections Security Subgrant Program.

County: Fond Du Lac	Maximum Allocation:	$ 59,550.80
	Amount Requested:	$ 121,500.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ 107,500.00	Yes	Integrated firewall/SIEM solution
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 14,000.00	Yes	External
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

On behalf of Fond du Lac County, I would like to take this opportunity to thank you for allowing Wisconsin counties to apply for the Election security Subgrant program.

In Fond du Lac County, election security is of the utmost importance, as programming for all elections is done in-house, and my office **provides** WisVote services for 29 of the 33 municipalities in the county.

County: Green	Maximum Allocation:	$	43,527.80
	Amount Requested:	$	43,527.80

Required Documents

Required Documents	
1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

Required Certifications	
3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ 25,000.00	Yes	Includes website transition to HTTPS
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 10,000.00	Yes	Not requested but recommended.
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ 8,527.80	Yes	To be applied following assessment

Supplemental Information:

County: Green Lake	Maximum Allocation:	$ 39,495.50
	Amount Requested:	$ 35,762.54

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ 5,100.00	Yes	One year subscription
7. IDS	$ 14,700.00	Yes	Albert Network Monitoring
8. E-Mail Security	$ -		
9. Backups	$ 8,900.00	Yes	Cloud based solution subscription fees
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ 4,002.54	Yes	Cameras for election storage and clerk
17. Security Assessment or Pen Tests	$ -		
18. Security Training	$ 3,060.00	Yes	Staff training subscription
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

Thank you for your time and dedication to ensure Wisconsin elections remain secure!

County: Iron	Maximum Allocation:	$ 36,494.60
	Amount Requested:	$ 35,000.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	See Below
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ 10,000.00	Yes	
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ 5,000.00	Yes	
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ 5,000.00	Yes	if necessary
17. Security Assessment or Pen Tests	$ 10,000.00	Yes	Plan completion by August 2020.
18. Security Training	$ 5,000.00	Yes	
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

We do not currently utilize HTTPS for posting unofficial election results to the county website. With this requirement brought to our attention, we are exploring a solution to meet this requirement.
Note: All figures are approximate as Iron County did not submit detailed reqeusts.

County: Jackson	Maximum Allocation:	$ 39,896.00
	Amount Requested:	$ 271,550.00

Required Documents	
1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications	
3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ 14,700.00	Yes	Albert Network Sensor
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ 203,850.00	No	Replace outdated Edge voting machines
15. Voting Software	$ -		
16. Physical Security	$ 25,000.00	Yes	Key cards, security glass for clerk's area
17. Security Assessment or Pen Tests	$ 28,000.00	Yes	External with Pen Tests
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

County: Jefferson	Maximum Allocation:	$ 54,577.40
	Amount Requested:	$ 58,250.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ 58,250.00	Yes	All-in one firewall and monitoring (3 yrs)
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ -		
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

County: Juneau	Maximum Allocation:	$ 41,517.80
	Amount Requested:	$ 100,757.84

Required Documents
1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications
3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ 58,157.84	Yes	All-in one firewall and monitoring (3 yrs)
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ 18,000.00	Yes	
12. MFA	$ -		
13. Hardware	$ 3,700.00	Yes	Replace two elections computers
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ 11,900.00	Yes	Cameras and key card access
17. Security Assessment or Pen Tests	$ 9,000.00	Yes	External.
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

County: Kenosha	Maximum Allocation:	$ 73,397.30
	Amount Requested:	$ 112,800.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 25,000.00	Yes	External
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ 87,800.00	Yes	Unallocated funds to be returned

Supplemental Information:

Note: Figures are estimates as Kenosha County asked to resubmit their documentation.

County: Kewaunee	Maximum Allocation:	$ 39,823.10
	Amount Requested:	$ 49,076.24

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ 2,668.50	Yes	Upgraded monitored solution
11. Servers & Network Devices	$ 19,407.74	Yes	Upated switches and encryption hardware
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 27,000.00	Yes	External
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

County is not using HTTPS but certifies that it will do so.

County: LaCrosse		
Maximum Allocation:	$	63,505.40
Amount Requested:	$	186,792.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ 3,605.00	Yes	Backup laptop for EMS
14. Voting Equipment	$ 117,040.00	Evaluate	Upgrade to ExpressVotes
15. Voting Software	$ 66,147.00	Yes	Update Electionware software
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ -		
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

32

County: Lafayette	Maximum Allocation:	$ 38,829.20
	Amount Requested:	$ 38,829.20

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ 2,250.00	Yes	Implement DMARC
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 15,000.00	Yes	External
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ 21,579.20	Yes	Unallocated funds must be returned

Supplemental Information:

County: Langlade	Maximum Allocation:	$ 38,829.20
	Amount Requested:	$ 179,000.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ 144,000.00	Evaluate	ExpressVote ballot marking devices
15. Voting Software	$ -		
16. Physical Security	$ 35,000.00	Evaluate	Security glass and new doors
17. Security Assessment or Pen Tests	$ -		
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

WEC staff recommend asking Langlad County to revise their reqeusts to more specifically address cybersecurity.

County: Lincoln	Maximum Allocation:	$ 41,873.30
	Amount Requested:	$ 195,153.40

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ 300.00	Yes	
9. Backups	$ -		
10. Firewall	$ 158,932.50	Yes	All-in-one managed service suite
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 12,527.50	Yes	External
18. Security Training	$ 17,393.40	Yes	Subscription with phishing simulations
19. Other	$ -		
20. Mitigation	$ 6,000.00	Yes	To be applied after evaluation

Supplemental Information:

Lincoln County IT Department follows many best practices but lacks funding to do more. Security has become a high priority for the IT Department and the County. The IT department has been looking to get a security assessment but has not been able to allocate funding. This grant came at a very opportune time.

County: Manitowoc		Maximum Allocation:	$	54,260.60
		Amount Requested:	$	54,260.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ 3,000.00	Yes	Implement DMARC
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ 3,400.00	Yes	Media Security Bags and Security Seals
17. Security Assessment or Pen Tests	$ 20,000.00	Yes	Internal and external
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ 27,860.00	Yes	Unallocated funds to be returned

Supplemental Information:

Thank you for your consideration, time and service.

County: Marathon	Maximum Allocation:	$ 66,306.80
	Amount Requested:	$ 66,379.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ 1,088.00	Yes	Updated obsolete hardware
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ 8,991.00	Evaluate	EVS 5.3.4.1 PYO Modeming Upgrade
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 25,000.00	Yes	External security assessment w/pen test
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ 31,300.00	Yes	Unallocated funds to be returned

Supplemental Information:

Thank you for making this grant available to Wisconsin Counties.

37

County: Marinette	Maximum Allocation:	$	44,991.20
	Amount Requested:	$	45,449.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ 16,749.00	Yes	Automated cloud based solution
7. IDS	$ 14,700.00	Yes	Albert Network Sensor
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 14,000.00	Yes	External
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

While the total cost of the aforementioned enhancements exceeds the anticipated grant fund availability, any funding received would be combined with additional funds from the County of Marinette to enable the implementation of these enhancements during 2020 and not wait until future budget years.

The enhancement of the overall network security posture will have a significant impact on election security by reducing the threat opportunity to the network as a whole, which includes election processes, data and resources.

County: Marquette	Maximum Allocation:	$ 38,740.70
	Amount Requested:	$ 38,740.70

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 13,050.00	Yes	External
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ 25,690.70	Yes	Unallocated funds to be returned.

Supplemental Information:

Thank you for this opportunity to improve our security and safe delivery of election duties.

County: Milwaukee	Maximum Allocation:	$ 250,893.50
	Amount Requested:	$ 258,518.50

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ 258,518.50	Evaluate	Replace 73 Automarks with ExpressVote
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ -		
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

The purpose of the Help America Vote Act of 2002 ("HAVA") federal award is to "improve the administration of elections for Federal office, including to enhance election technology and make election security improvements" to the systems, equipment and processes used in federal elections. The WEC County Election Security Subgrant is to be used for election security related expenditures to aid counties in improving their overall election security posture. The intent is to allow individual counties to apply for subgrant funds on an "individual needs basis" instead of a one size fits all approach. Milwaukee County's request meets the security enhancement requirement and much more.

Milwaukee County is proposing an upgrade of our accessible voting equipment to improve election security while simultaneously increasing election safety, efficiency and integrity for the voting public.

County: Oconto	Maximum Allocation:	$ 44,133.80
	Amount Requested:	$ 41,326.12

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ 22,260.00	Yes	Albert Network Sensor
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ 3,300.00	Yes	Hardware upgrades
11. Servers & Network Devices	$ 2,625.00	Yes	Update server OS
12. MFA	$ -		
13. Hardware	$ 3,229.12	Yes	Replace old clerk desktops with laptops
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 5,700.00	Yes	External
18. Security Training	$ 4,212.00	Yes	Empahsis on phishing training
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

County: Oneida	Maximum Allocation:	$ 43,993.10
	Amount Requested:	$ 60,950.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ 25,500.00	Yes	Managed service with server upgrades
9. Backups	$ -		
10. Firewall	$ 6,950.00	Yes	includes webfilter
11. Servers & Network Devices	$ 7,000.00	Yes	includes backups
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 21,500.00	Yes	External
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

Thank you for consideration of our grant request.

County: Outagamie	Maximum Allocation:	$ 77,562.50
	Amount Requested:	$ 103,400.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ 39,000.00	Yes	Managed service monitoring
7. IDS	$ -		
8. E-Mail Security	$ 4,000.00	Yes	Improvements identified in DHS assessment
9. Backups	$ 16,400.00	Yes	Outsourced solution
10. Firewall	$ -		
11. Servers & Network Devices	$ 30,000.00	Yes	Increased redundancy
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 14,000.00	Yes	External
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

All services above outsourced.

County: Ozaukee	Maximum Allocation:	$ 55,877.30
	Amount Requested:	$ 53,925.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ 1,425.00	Yes	licensing
7. IDS	$ -		
8. E-Mail Security	$ 18,600.00	Yes	Full revisions of email service
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 9,900.00	Yes	External
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ 24,000.00	Yes	Unallocated funds to be returned

Supplemental Information:

County: Polk	Maximum Allocation:	$	45,317.60
	Amount Requested:	$	41,689.40

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ 19,958.40	Yes	Outsourced solution
8. E-Mail Security	$ 18,731.00	Yes	Outsourced solution
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 3,000.00	Yes	DDoS assessment
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

Thank you for your consideration, time and service!

County: Portage	Maximum Allocation:	$ 52,233.20
	Amount Requested:	$ 52,233.20

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 20,000.00	Yes	includes training for staff
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ 32,233.20	Yes	Unallocated funds to be returned

Supplemental Information:

Thank you for your time, consideration, and making these subgrant funds available to Wisconsin Counties.

| County: Price | Maximum Allocation: | $ 38,496.80 |
| | Amount Requested: | $ 40,327.00 |

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ 14,031.00	Yes	Implement MFA to safeguard access
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 16,425.00	Yes	External evaluation
18. Security Training	$ 9,871.00	Yes	3 year subscription
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

County: Racine		
Maximum Allocation:	$	79,907.30
Amount Requested:	$	79,907.30

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 25,000.00	Yes	External
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ 54,907.30	Yes	Unallocated funds to be returned

Supplemental Information:

County: Rock		
Maximum Allocation:	$	71,535.80
Amount Requested:	$	111,772.92

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ 75,900.00	Yes	Albert or Cisco product
8. E-Mail Security	$ -		
9. Backups	$ 11,550.00	Yes	Encrypted mobile storage
10. Firewall	$ 852.00	Yes	Backup hardware
11. Servers & Network Devices	$ 140.93	Yes	backup switch
12. MFA	$ -		
13. Hardware	$ 3,508.99	Yes	Backup elections laptop
14. Voting Equipment	$ 6,500.00	Yes	Backup DS200 tabulator
15. Voting Software	$ 10,096.00	Yes	EVS 6.0.50 PYO upgrade
16. Physical Security	$ 3,225.00	Yes	Safe for elections media, webcams
17. Security Assessment or Pen Tests	$ -		
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

Rock County is requesting HAVA funds through the County Election Security Subgrant Program to add extra layers of protection to our county system. Rock County has already meet the Baseline Security Protocols for Counties: Secure Encrypted Websites (HTTPS), Secure Email Host and Deliberate Security Rick Assessment.

During the Cybersecurity Assessment performed by Baker Tilly in August of 2019, Rock County found areas where improvements could be made to increase the entire county's cybersecurity profile. Many of those improvements have been implemented or are in progress now. For the HAVA grant funds, Rock County is looking to use the funds to further secure the county clerk's office with backup equipment, creating redundancies, physical security, and software upgrades. Thank you for your consideration!

County: Rusk		Maximum Allocation:	$ 38,516.60
		Amount Requested:	$ 44,000.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ 21,000.00	Yes	Replace outdated firewall
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 18,000.00	Yes	External
18. Security Training	$ 5,000.00	Yes	Staff training
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

Thank you for your consideration and help.

County: Sauk	Maximum Allocation:	$ 49,646.00
	Amount Requested:	$ 45,000.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 10,000.00	Yes	External
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ 35,000.00	Yes	Unallocated funds to be returned

Supplemental Information:

Thank you for your consideration and help.

County: Sawyer	Maximum Allocation:	$	39,065.00
	Amount Requested:	$	39,647.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ 14,700.00	Yes	Albert Network Sensor
8. E-Mail Security	$ 1,094.00	Yes	
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ 12,828.00	Yes	Migrate Exchange Servers
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 11,025.00	Yes	External
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

Grants like this aren't common, we appreciate your partnership in enhancing our election security.
All figures actual.

County: Shawano	Maximum Allocation:	$	44,801.00
	Amount Requested:	$	41,208.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ 28,500.00	Yes	Provider TBD
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ 1,500.00	Yes	Laptop to for clerk
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 8,256.00	Yes	
18. Security Training	$ 2,952.00	Yes	Staff training
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

Thank you for your consideration, time, and service!

County: Sheboygan	Maximum Allocation:	$ 61,966.70
	Amount Requested:	$ 68,500.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ 28,500.00	Yes	Albert Network Sensor
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 40,000.00	Yes	With pen tests
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

Sheboygan County Information Technology Department regularly performs internal security risk self-assessments (most recently in 2019), to ensure compliance with State and Federal regulations regarding data privacy and information security. Thank you for your consideration, time, and service!

County: St. Croix	Maximum Allocation:	$ 54,858.80
	Amount Requested:	$ 56,400.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ 29,400.00	Yes	Albert Network Sensor
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ 15,000.00	Yes	required upgrades for Albert
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 12,000.00	Yes	Pen testing
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

St. Croix County Information Technology Department regularly performs internal security risk self-assessments. In addition to these self-assessments we contracted with a third party in October 2019 to provide a comprehensive security audit of the entire St. Croix County network to ensure compliance with State and Federal regulations regarding data privacy and information security.
Thank you for your consideration, time, and service!

County: Trempealeau	Maximum Allocation:	$	41,903.30
	Amount Requested:	$	41,903.30

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 15,000.00	Yes	External
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ 26,903.30	Yes	Unallocated funds to be returned

Supplemental Information:

Thank you for administering this important project and your assistance in helping us deliver secure and fair elections to our citizens.

County: Vernon	Maximum Allocation:	$ 41,795.30
	Amount Requested:	$ 307,105.34

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ 52,435.34	Yes	Build redundant domains
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ 5,520.00	Yes	Expand 2FA
13. Hardware	$ -		
14. Voting Equipment	$ 249,150.00	No	Replace Edge touch screens with ICE
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ -		
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

County: Vilas	Maximum Allocation:	$ 40,447.70
	Amount Requested:	$ 40,447.70

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 15,000.00	Yes	External
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ 25,447.70	Yes	Unallocated funds to be returned

Supplemental Information:

County: Walworth	Maximum Allocation:	$	59,229.80
	Amount Requested:	$	59,229.80

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ 5,000.00	Yes	Implement DMARC
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 20,000.00	Yes	External
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ 34,229.80	Yes	To be applied following assessment

Supplemental Information:

Since the outcome of these security scans and any remediation costs are unknown, Walworth County is requesting the entire grant allocation of $59,229.80. This amount is calculated on the base subgrant of $35,000 plus the additional $0.30 per person based on the Walworth County's 2019 voting age population of 80,766 ($24,229.80). Should there be any unused funds these funds could be returned by the February 15, 2021.

County: Washburn	Maximum Allocation:	$	38,881.40
	Amount Requested:	$	30,260.00

Required Documents	
1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications	
3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ 22,260.00	Yes	Albert Network Sensor
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ 8,000.00	Yes	Update outdated hardware
11. Servers & Network Devices			
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ -		
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

Washburn County has tried to stay up-to-date with current security threat and concerns within its current budget structure. With our Board approving zero percent increases in operation costs the last several years, staying current defending the County against such threats has become increasingly difficult.

We would like to thank the Wisconsin Elections Commission for this opportunity to apply for funding to help us accomplish these objectives.

County: Washington	Maximum Allocation:	$ 66,545.90
	Amount Requested:	$ 66,290.68

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ 46,620.48	Yes	All-in-one managed service subscription
7. IDS	$ 14,700.00	Yes	Albert Network Sensor
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ 4,970.20	Yes	Required for IDS
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ -		
18. Security Training	$ -		
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

Washington County has planned to procure these services and additional products in 2020, prior to the November election.

County: Waukesha	Maximum Allocation:	$ 128,688.80
	Amount Requested:	$ 128,650.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ 10,000.00	Yes	Managed vulnerability assessment tool
7. IDS	$ 50,000.00	Yes	Albert Network Sensor.
8. E-Mail Security	$ -		
9. Backups	$ 19,375.00	Yes	Encrypted mobile storage
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ 1,275.00	Yes	Dedicated elections laptop
14. Voting Equipment	$ -		
15. Voting Software	$ 2,300.00	Yes	Upgraded ES&S Cisco firewall
16. Physical Security	$ 6,700.00	Yes	Safe, key card readers, camera
17. Security Assessment or Pen Tests	$ 35,000.00	Yes	External
18. Security Training	$ 4,000.00	Yes	Staff training
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

Thank you for the opportunity to present our proposal for the HAVA County Elections Security Sub-grant Program. While Waukesha County has a robust network security program in place and continues to make significant investments in our infrastrcture, equipment, and security training programs we realize that election security is an ever changing field and we can continue to make improvements.

County: Waupaca	Maximum Allocation:	$ 47,307.50
	Amount Requested:	$ 75,882.20

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ 22,476.00	Yes	Unified endpoint management
7. IDS	$ 22,260.00	Yes	Albert Network Sensor
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ 1,800.00	Evaluate	Imagecast Emergency Ballot Print System
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ 9,647.00	Yes	External
18. Security Training	$ 19,699.20	Yes	3 yr subscription
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

We welcome any questions that you might have and appreciate the opportunity to submit for this security grant.

County: Waushara	Maximum Allocation:	$ 40,979.60
	Amount Requested:	$ 84,532.20

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ -		
7. IDS	$ -		
8. E-Mail Security	$ -		
9. Backups	$ -		
10. Firewall	$ -		
11. Servers & Network Devices	$ -		
12. MFA	$ -		
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ 52,911.00	Evaluate	Video surveilance equipment
17. Security Assessment or Pen Tests	$ 15,000.00	Yes	
18. Security Training	$ 16,621.20	Yes	3 year subscription
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

Thank you for your time and consideration.

County: Winnebago	Maximum Allocation:	$ 75,614.00
	Amount Requested:	$ 101,837.00

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ 23,096.00	Yes	Threat detection software and logging tools
7. IDS	$ 43,390.00	Yes	Albert Network Sensor
8. E-Mail Security	$ -		
9. Backups	$ 4,000.00	Yes	Portable mass storage
10. Firewall	$ -		
11. Servers & Network Devices	$ 8,350.00	Yes	To support Albert
12. MFA	$ -		
13. Hardware	$ 1,501.00	Yes	Backup workstation
14. Voting Equipment	$ 12,000.00	Yes	Backup ICE and 4G hotspot
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ -		
18. Security Training	$ 9,500.00	Yes	SANS training for IT staff
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

Thank you for your time and consideration.

65

County: Wood	Maximum Allocation:	$ 52,712.90
	Amount Requested:	$ 90,107.41

Required Documents

1. Completed Agreement Submitted?	YES
2. Subgrant Narrative Submitted?	YES

Required Certifications

3. County uses HTTPS protocol for its website or certifies it will transition?	YES
4. County uses a secure e-mail host or certifies it will transition?	YES
5. County completed a risk and vulnerability assessment or plans to with funds?	YES

Requested Funds	Amount	Recommend Approval?	Comments
6. SIEM or Endpoint Security	$ 13,497.30	Yes	Unified endpoint management solution
7. IDS	$ 28,466.40	Yes	Albert Network Sensor
8. E-Mail Security	$ -		
9. Backups	$ 5,775.00	Yes	Portable mass storage
10. Firewall	$ 577.71	Yes	
11. Servers & Network Devices	$ -		
12. MFA	$ 10,795.00	Yes	Password management tools
13. Hardware	$ -		
14. Voting Equipment	$ -		
15. Voting Software	$ -		
16. Physical Security	$ -		
17. Security Assessment or Pen Tests	$ -		
18. Security Training	$ 30,996.00	Yes	3 year subscription
19. Other	$ -		
20. Mitigation	$ -		

Supplemental Information:

Wisconsin Elections Commission

212 East Washington Avenue | Third Floor | P.O. Box 7984 | Madison, WI 53707-7984
(608) 266-8005 | elections@wi.gov | elections.wi.gov

_____

DATE: July 25, 2020

TO: For the July 30, 2020 meeting of the Wisconsin Elections Commission

FROM: Meagan Wolfe
              Administrator

SUBJECT: **Uniform Instructions for Absentee Voters Redesign**

Overview

Anticipating a continued uptick in absentee participation for the fall elections, staff have been working on improving the absentee voting process to make it more intuitive for voters and to minimize the risk that absentee ballots are rejected at the polls due to omitted information or voter error. One area identified for improvement was the uniform instructions that are sent with every absentee ballot in Wisconsin. The statute that provides the requirements for the uniform instructions has not been changed recently, but several other laws regarding by mail absentee voting have been altered which necessitated a fresh look at the uniform instructions.

Staff has provided the following draft documents for the Commission's consideration as an attachment to this memorandum:

1. A version of the universal instructions that will be provided to all by mail absentee voters
2. A supplemental information sheet for voters who receive their ballot by fax or email

Both of these draft documents emphasize clarity in the presentation of instruction and information. Graphics have been included, where appropriate, to illustrate the numbered steps in the process that correspond to the section numbering on the certificate return envelope.

Process

Staff have been coordinating closely with several subject matter working groups throughout the past several months. These groups, comprised primarily of municipal and county clerks, have been invaluable in providing feedback and suggesting best practices to improve the voting process for all involved. One of these committees was focused on redesigning the absentee carrier and certificate envelopes and, although that project has been put on hiatus, the same group was reconvened to discuss improving the uniform instructions that accompany every absentee ballot.

Wisconsin Elections Commissioners
Ann S. Jacobs, chair | Marge Bostelmann | Julie M. Glancey | Dean Knudson | Robert Spindell | Mark L. Thomsen

_____

Administrator
Meagan Wolfe

67

Per Wisconsin Statute § 6.869, the Commission is tasked with prescribing uniform instructions that municipalities provide to absentee electors. The instructions are to include:

- The specific means of electronic communication that an absentee elector may use to file an application for an absentee ballot and, if the absentee elector is required to register, to request a registration form or change his or her registration
- Information concerning whether proof of identification is required to be presented or enclosed
- Information concerning the procedure for correcting errors in marking a ballot and obtaining a replacement for a spoiled ballot—this procedure shall, to the extent possible, respect the privacy of each elector and preserve the confidentiality of each elector's vote

As these instructions are sent to every voter who requests an absentee ballot, it is imperative that they are usable, intuitive, and that they convey information that is germane to the voter. While the current iteration of the instructions does not suffer from a dearth of information, staff identified areas for improvement in terms of providing more relevant information in light of statutory changes to by mail absentee voting while conveying the information in a more useful way. Previously, voters who did not provide their acceptable photo ID with their request would be sent a ballot with instruction that they must return a copy of their photo ID along with their voted ballot in order for their ballot to count. The legislature later revised the law to require the photo ID to accompany the request before a ballot can be issued. With that in mind, staff designed a prototype of redesigned instructions with the express purpose of presenting the required information in a way that maximized the voter's understanding of the process and was most likely to answer the most common questions voters have about voting and returning their ballot.

Based on feedback from the clerk working group, a new approach has been taken to create two separate instruction documents. The first is the universal set of instructions that is applicable to all voters and the second is a supplemental instruction sheet that will only be sent to voters who receive their ballot by fax or email. Please note that the 7th Circuit Court of Appeals ruling in the *One Wisconsin Institute* case is expected to reinstate the state law that UOCAVA voters will be the only voters eligible to request their ballots be transmitted to them by email or fax.

The instructions for emailed and faxed ballots are important but only serve a relatively small number of Wisconsin voters who are eligible to request ballots via these methods. It was the opinion of the working group and staff working on this project that splitting those instructions into a separate document to only be sent when necessary would better serve not only the voters who receive their ballots electronically, but also the voters who were receiving their ballots in the mail.

By prioritizing the information a voter receives based on how they chose to receive their ballot, the ultimate goal of this approach is to further individualize the instructions and to cut down on the risk of confusion and provide more applicable information. The supplemental instructions mirror the framework of the uniform instructions and, accordingly, walk the voter through the entire absentee voting process while focusing on the differences for voters who receive their ballots via email or fax, e.g., having to print their ballots and certificates, providing their own envelopes, and ensuring appropriate postage is affixed.

Overview of Changes

The prototype revision, included in your materials, differs from the current instruction document in a number of key ways:

- The numbered instructions, which walk the voter through the absentee voting process from start to finish, previously started halfway down the page and continued onto the back. As these are likely the most important instructions on the document, they are now the first thing a voter will encounter on the redesigned instructions.
- These instructions have also been pared down to convey the necessary information in a clear and concise fashion.
- Prominent numbering and pertinent graphics have been added to the front page to make it easier for the voter to follow the instructions in the correct order. The clerks in the working group, many of whom have developed their own supplemental instruction sheets they send with the uniform instructions, universally recommended the introduction of icons, graphics, and meaningful numbering as ways to minimize the likelihood of a voter missing or omitting required information, thereby rendering their certificate ballot insufficient.
- The redesign also provides additional context as to who may or may not serve as a witness for the absentee voting process. Both the WEC office and municipal clerks receive a multitude of calls on this topic, so it is hoped that adding a bit more information to the instructions may allow voters to answer those questions without having to reach out for further clarification.
- There was also an attempt to reiterate the importance of the required fields on the absentee certificate envelope. As with other sections of the instructions, this information has been reformatted into a bulleted list. It is hoped that presenting the information this way, as well as focusing heavily on the fact that omitting the required info will lead to a ballot not being counted, will minimize the number of insufficient certificate envelopes.
- The redesign includes a new section that further explains how a voter may get assistance in marking their ballot or in completing their absentee certificate envelope. This section outlines who may serve as an assistant and what is required of anyone serving in that capacity.
- The correcting ballot errors information section has also been pared down and reformatted into a table, which presents the same information in a more efficient way. Per clerk feedback, this section now also includes a reminder that, if a voter needs a replacement ballot and does not have time to request one, they may still vote in-person at the polls on Election Day if they do not return their original ballot.
- The voter photo identification section, which is front and center on the current version of these instructions, has been trimmed down and placed on the back of the document. While this information is required to be presented and is important, the simple fact remains that, if a voter is viewing these instructions, they have already received their ballot and, for voters who must provide it, the photo ID requirement has already been satisfied.
- As with the current iteration, there is a customizable portion of the document where municipalities may enter the contact information for their clerk's office. However, as a sign of the times, the field for a fax number has been removed entirely and a callout to MyVote has been inserted.

Staff have reached out to the Center for Civic Design to request a review of the updated instructions to ensure that they are usable and that they convey information efficiently. The clerk working group has also expressed interest in continuing to collaborate on this project, so it is expected that additional feedback and opportunities for usability testing the redesign prototype will be forthcoming.

Recommended Motion:

The Commission approves these drafts as to form, pursuant to any revisions as determined by the Commission, and directs staff to finalize the uniform instructions and communicate the changes to local election officials.

Uniform Instructions for Wisconsin Absentee Voters

If your ballot was mailed to you:	If your ballot was emailed or faxed to you:
Make sure the carrier envelope contains your ballot and absentee certificate envelope you'll use to return your ballot.	Please see the special instruction sheet.

1 Carefully read and follow the instructions for completing the ballot. Mistakes may prevent your votes from being counted.

2 You must vote your ballot in the presence of an adult witness:

- Start by showing the witness your unmarked ballot.
- Mark your ballot in the presence of your witness. Your witness must confirm that you are the one completing your ballot but voting is a private activity, so make sure the witness cannot see your choices.

Who can be a witness?	A witness **cannot**:
• A witness must be a U.S. Citizen who is at least 18 years old. • A witness could be a friend, spouse, family member, neighbor, etc.	• Be a candidate for this election. • Solicit or advise you on how to vote for any candidate, contest, or referendum on your ballot.

3 Refold your voted ballot and place it inside of the certificate envelope.

4 Seal the envelope in the presence of your witness.

5 Complete the required sections of the absentee certificate envelope.
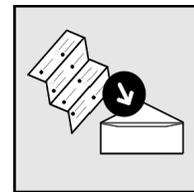
To make sure your ballot is counted, complete and double check all the following:

- Your voter information. This section, which is usually completed by your municipal clerk, includes the date of the election, the county and municipality in which you are registered, your name, the address where you are registered, city, and zip code.
- You (or your assistant, if applicable) must sign in the Certification of Voter section.
- Your witness must sign and provide their **full** address in the Certification of Witness section.
- Make sure your ballot is in your envelope before you seal it and that it's sealed properly.

If any of the required information above is missing, your ballot **will not be counted**.

6 Return your ballot.

- Your ballot must be returned in time to be delivered to your polling place **no later than 8:00 p.m. on Election Day.** There are a few options for returning your ballot.
  You can:
  - Mail it back
  - Drop it off at your municipal clerk's office
  - Drop it off at your polling place or central count location
- **Absentee ballots may not be returned by email or fax.**
- The United States Postal Service recommends allowing at least a week for delivery. If you are returning your ballot from overseas, delivery time may be longer.
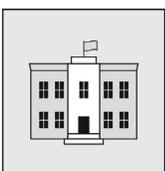
71

Getting Assistance

If you need help completing your ballot or absentee certificate envelope, you may ask for assistance from anyone who is not your employer or a representative of your labor union. Your assistant may also serve as your witness.

With your ballot	With your absentee certificate envelope
• Your assistant must sign in the Certification of Voter Assistance section. • Your assistant can the read your ballot to you or mark your ballot under your direction, but cannot tell you how to vote.	• If someone signs your absentee certificate envelope on your behalf, make sure they also complete the Certification of Assistant section. • Explaining how to complete the absentee certificate envelope is not "assistance."

Correcting Ballot Errors

- If you make an error while marking your ballot or otherwise require a replacement ballot, contact your municipal clerk.
- If there is not enough time to request a replacement ballot and you have not returned your ballot, you may still vote in-person at the polls on Election Day.
- The deadlines for requesting a replacement ballot vary for different types of voter. Please see below for additional detail.

By 5:00 p.m. on the Thursday before the election:		
Regular absentee voters	Permanent overseas voters	Temporary overseas voters

By 5:00 p.m. on the Friday before the election:	
Military voters*	Indefinitely confined voters

*If the ballot contains federal offices, military voters away from home may request replacement ballots until 5:00 p.m. on Election Day

Voter Photo Identification Information

- Most voters are required to provide a copy of acceptable photo ID to their municipal clerk prior to receiving a ballot, but there are some exceptions, including indefinitely confined, military, or confidential voters.
- If you have provided a copy of photo ID with a prior absentee request and have not updated your registration, i.e., moved or changed your name, you do not need to submit another copy of photo ID.
- If you have questions about the photo ID requirement, please contact your municipal clerk.

Local Election Official Contact Information	State Election Official Contact Information
_____ (Name of Municipal Clerk) _____ (Name of Municipality) **Phone:** _____ **Email:** _____	Wisconsin Elections Commission **Phone:** (608) 261-2028 **Email:** elections@wi.gov **For voter information, check out MyVote.wi.gov**

Uniform Instructions for Wisconsin Absentee Voters
| Rev X-20XX | Wisconsin Elections Commission, P.O. Box 7984, Madison, WI 5377-7984 | (608) 261-2028 | web: elections.wi.gov | email: elections@wi.gov

72

Supplemental Instructions for Returning Emailed or Faxed Ballots

1 Print both your ballot and absentee ballot certificate you received from your municipal clerk.

2 Carefully read and follow the instructions for completing the ballot. Mistakes may prevent your votes from being counted.

3 You must vote your ballot in the presence of an adult witness:

- Start by showing the witness your unmarked ballot.
- Mark your ballot in the presence of your witness. Your witness must confirm that you are the one completing your ballot but voting is a private activity, so make sure the witness cannot see your choices.

Who can be a witness?	A witness **cannot**:
• Your witness is not required to be a U.S. Citizen, but must still be at least 18 years old. • A witness could be a friend, spouse, family member, neighbor, etc.	• Be a candidate for this election. • Solicit or advise you on how to vote for any candidate, contest, or referendum on your ballot.

4 Fold the ballot and place it inside of a regular, non-window envelope. Return your <u>entire</u> ballot. If the ballot was sent as two pages, return both pages including the page containing the municipal clerk's initials. Seal the envelope in the presence of your witness.

5 Affix the certificate with an adhesive (glue or tape) to the envelope containing the ballot.

6 Complete the required sections of the absentee certificate envelope.

To make sure your ballot is counted, complete and double check all the following:

- Your voter information. This section includes the date of the election, the county and municipality in which you are registered, your name, the address where you are registered, city, and zip code.
- You (or your assistant, if applicable) must sign in the Certification of Voter section.
- Your witness must sign and provide their **full** address in the Certification of Witness section.
- Provide your date of birth

If any of the required information above is missing, your ballot **will not be counted**.

7 Put the envelope with the certificate attached into a larger envelope and address it to your municipal clerk.

8 Affix the appropriate postage to the exterior envelope.

9 Return your ballot to your municipal clerk.

- Your ballot must be returned in time to be delivered to your polling place **no later than 8:00 p.m. on Election Day.**
- Absentee ballots **cannot** be returned by email or fax. They must be returned by mail or delivered to your municipal clerk's office or polling place.
- If unable to use public mail or parcel services, consider commercial alternatives.
- If on active military duty, you can drop off your ballot at a U.S. Consulate to be returned for free.

73

Wisconsin Elections Commission

212 East Washington Avenue | Third Floor | P.O. Box 7984 | Madison, WI 53707-7984
(608) 266-8005 | elections@wi.gov | elections.wi.gov

DATE: For the July 30, 2020 Commission Meeting

TO: Members, Wisconsin Elections Commission

FROM: Meagan Wolfe
Administrator

SUBJECT: Update on Absentee by Mail Improvements

A. Introduction

To improve the absentee experience for voters and local election officials, WEC staff have met with key stakeholders on a weekly basis since May. Staff have been in regular contact with clerks, voters, the United States Postal Service (USPS), voter advocacy groups, and other elections administrators to understand and meet expectations from all perspectives. Discussion with these groups have resulted in informed improvements to the absentee voting process in the areas of the ballot request process, absentee ballot management and tracking, and absentee status reports and other quality assurance measures. The voter-facing improvements were made to the MyVote website, while WisVote changes were made to accommodate more efficient administrative processes and the status of each of these changes is outlined in this report. This report will also address the unique needs of municipal clerks who do not have direct WisVote access and work with a provider municipality to complete their WisVote duties.

B. Partners and Resources

In late May and early June, WEC staff members held remote video conferencing sessions with Wisconsin voters to review changes to the voter service website MyVote.wi.gov. Voter advocacy groups also provided feedback on the design proposals for changes to the absentee request and absentee tracking processes. WEC thanks these direct users for their time and efforts in improving the absentee voting experience for all voters.

WEC staff meet on a weekly basis with several USPS representatives, including the elections mail coordinator for the Great Lakes region. Additional representatives with specific expertise are included as needed. Through July 1, WEC staff also met on a weekly basis with the Absentee Process Revision Clerk Committee to review changes to the absentee process in the voter registration system and to finalize change to MyVote.wi.gov. The local election officials on this committee continue to provide invaluable feedback and the meetings are now held every other week. Moving forward, USPS will hold weekly meetings with WEC, third party mail vendors like United Mail and Pitney-Bowes, and clerks from across the state to follow up on reported issues with absentee ballots in the mail. All partners are dedicated to ensuring voters have transparency into the process, all ballots are mailed to voters with valid and timely requests and all voters have success when returning their voted ballot.

Wisconsin Elections Commissioners
Ann S. Jacobs, chair | Marge Bostelmann | Julie M. Glancey | Dean Knudson | Robert Spindell | Mark L. Thomsen

Administrator
Meagan Wolfe

74

In addition to providing a space where specific ballots and local postal issues can be addressed, WEC staff propose that this group develop a resources toolkit for working with USPS on ballot mail. This may include timelines for absentee ballot envelope review with USPS design specialists and managing expectations for mail turn-around time at different time periods of an election cycle. USPS should also explain post-marking procedures and evaluate options for improvement when dealing with ballot mail. The final product should provide an escalation path for identified issues with absentee ballot delivery, best practices for working with the local postmaster, and contact information as appropriate.

C. Absentee Request Process

As described at the May 20 Commission meeting, voters must submit a written request to receive an absentee ballot. The request can be submitted through an informal email or letter to a clerk, however they are most frequently submitted through the absentee request process on MyVote or by using the EL-121 Absentee Request form. Both standard methods have been revised for improved voter and clerk usability.

Submitting a request online through MyVote has become the most popular method to submit an absentee ballot request. Through observations of voters, WEC staff found that the previous language for photo ID upload was confusing and that voters were looking for absolute confirmation their request has been received by the clerk. To address these issues, staff have simplified and improved the instructions that accompany photo ID upload. Staff have also added a new step to summarize and provide the voter a final review of the information to be submitted along with their absentee ballot request, such as mailing address information. Voters are also encouraged to provide phone number or email information so that a clerk may quickly follow up on any issue with the request or ballot. Finally, the voter's final screen in the process provides a progress bar that displays clear confirmation they are in the submission step and what additional steps they should expect through the ballot's lifecycle.

Staff also addressed the issue of the burdensome data entry required for clerks to manually enter in absentee ballot requests with photo IDs submitted through MyVote. Requests submitted through MyVote now generate a pending record in the voter registration system. Clerks still receive an email notification that a new request has been submitted, but now can simply log into the system to review the details of the request and approve or decline the request based on the validity of photo ID. Staff have also implemented a more efficient technical method for storing photo IDs. WEC staff are developing a similar process to provide clerks with a digital version of the absentee applications returned to the WEC office as a result of the WEC voting mailer to be sent in early September. A WEC staffer will data enter the applications in WisVote and make them available for clerk review in a similar pending status. The clerk will be provided all application information pre-entered into WisVote, an electronic copy of the voter provided photo ID, and a scanned copy of the original request. Additionally, WEC staff will perform some outreach to voters who provide incomplete absentee applications after the clerk has determined their application was incomplete.

Since implementation on June 15, WEC staff have received very positive feedback from clerks on the reduction in required data entry for absentee ballot requests. Staff hope to further improve the process by reducing the image size of photo IDs so clerks do not need to scroll through a photo for adequate review. Clerks and voter groups have also praised the improvements to the absentee request process being more user-friendly. For the remaining elections, staff and clerks will direct voters to use the updated online process, especially highlighting the ease of use of the photo ID upload step when accessed through a smart phone.

D. Mail Process and Ballot Tracking

1. Issuing Ballots

After requests are submitted, it is a municipal clerk's responsibility to fulfill those requests with a ballot. All requests on file must be fulfilled 47 days ahead of an election and are sent out as requests arrive thereafter. All requests and ballots must be tracked in WisVote, which offers several ways to review absentee requests and issue ballots. Since absentee request volume has increased dramatically in April, many clerks opt for the "batch ballot request" process. Creating a batch allows a clerk to select certain criteria and settings to be applied to the ballot records generated from the batch. When the batch is run, the system will look for ballot requests matching the criteria and then create ballot records for those voters. The ballot record is where a clerk can generate an address label and is also the record that provides the information a voter sees while tracking their information in MyVote.

Between the April election and the week ahead of the August UOCAVA ballot deadline, June 26, WEC staff worked with clerks to redesign the batch ballot request process to meet clerk expectations, remove opportunity for error, and improve system performance. The batch ballot request process has been redesigned with clear stages. The process starts with the clerk selecting criteria and settings and then generating an estimate. The system returns the number of absentee requests meeting the selected criteria and a list of the absentee requests the clerk may review to ensure all expected requests were captured. Clerks then decide to proceed by processing the batch ballot request, which will start the process of generating the ballot records, or the batch may be deleted if the clerk needed to recreate the batch. When all ballots have been created, a clerk can compare the "Original Application Count" number with the "Original Ballot Count" numbers to make sure all expected applications have created a ballot. Until these two numbers match, the user will not be able to print labels. Previously, clerks would print labels through a list of ballots currently generated against a batch. This view was accessible before all ballots in the batch had finished generating and has been removed. Ballots and applications may be deactivated for a number of reasons – these changes to the original counts are documented by the "Current Application" and "Current Active Ballot" counts visible on the batch ballot request record.

Clerks from the Absentee Process Revisions meeting were involved in the design of the new batch process and have largely expressed approval over the process. The new process allows multi-select in certain criteria and includes the ability to pull requests based on district combinations, which allows a clerk to easily to create a batch specific to a ballot style. Batch sizes are limited to no more than 5,000 records. After the batch process was deployed on June 18, clerks were provided instructions through a WisVote News post. Since then, an adjustment to group address labels by ward has been made. Another adjustment to allow absentee applications dissociated from a previous batch was made in July. This allows applications where replacement ballots will be issued to be picked up in a new batch.

2. Tracking Absentee Ballots

The April 7 Spring Election set records for the total number of absentee by mail ballots cast in any Wisconsin election. To manage this volume, clerks were continuously processing requests and sending out ballots, stressing the mail stream with an unanticipated load of ballots traveling from and back to the clerk's office. It became apparent that voters were unsatisfied with the amount of information available on the status of their absentee request and ballot. In response, WEC staff have added intelligent mail barcodes (IMBs) to mailed absentee ballot envelopes traveling to the voter from the clerk's office. An intelligent mail barcode is a 65-bar barcode unique to USPS mail that can be scanned at mail processing facilities to provide data, such as location and anticipated delivery date, to the sender.

WEC staff began regular meetings with USPS representatives in April to review the options available to implement intelligent mail barcodes. There is no cost associated with IMBs, though WEC was required to sign up for the Business Customer Gateway so a unique mailer ID to be included in the barcode could be assigned. An IMB also contains a barcode identifier, service type identifier, sequence number unique for a period of at least 45 days, and the delivery zip code. This information combines to create a unique barcode that is scanned by USPS at various points in the mail stream, most frequently at the nearest processing center. Staff worked through May and early June to design, develop, and test how information will be displayed to clerks and voters on Myvote.wi.gov and in the voter registration database. Designs were reviewed and adjusted based on clerk feedback received at weekly clerk committee calls and from voters through remote usability sessions. Staff also ran a small pilot for IMBs where greeting cards created by a staff member's daughter were traced through the mail using IMBs as they were delivered to staff home addresses.

The system changes to associate an intelligent mail barcode to absentee application records were implemented in the voter registration system on June 13. All existing applications with a ballot delivery method of mail were updated with IMBs at that time. Starting the week of June 15, clerks began to process absentee applications in WisVote. The process currently provides two labels: 1. the outgoing voter address label with a printed IMB and 2. the voter information label with standard 2-D barcode applied to the certificate side of the absentee ballot return envelope. The voter information label provides consistency, reduces voter errors, increases legibility for easy processing when the ballot arrives back to the clerk office, and provides clerks an efficient method to scan ballots as returned to the clerk's office. At this time, IMBs associated with the return ballot envelope are still under discussion. A third label would introduce another element to existing absentee ballot preparation procedures, reports, printers, and associated printing materials, which are currently best suited to pair labels off in twos. The clerk feedback committee has expressed concerns about the additional time and resources needed to apply the third label. Additionally, most voters in usability testing stated they did not expect to be able to track their ballot on the way back to the clerk's office, other than the existing ability to confirm it had arrived at the clerk's office and would be counted.

After several rounds of usability testing, WEC staff updated the tracking abilities for absentee voters in MyVote. The "My Absentee Status" progress bar first provides voters insight into the status of their absentee request. It will show as submitted when their absentee request record is created in WisVote through the pending request process or when a clerk data enters a paper request. The voter will then see if their request has been approved or denied based on the clerk's determination on the photo ID. If a photo ID is marked as invalid in WisVote, a voter would see a message to contact their clerk. Next, voters will see a ballot is "being prepared" when a ballot record has been created, but not yet inducted or scanned into the mail stream. Once the "Date Ballot Sent" is populated in WisVote, either manually by clerks (some who do not used address labels that include the IMB) or automatically when a ballot receives its first scan, a voter will see the date their ballot has been sent. When an IMB is in use, voters will also receive a date for anticipated delivery provided by USPS. Once the ballot is returned to the clerk and marked in WisVote, the voter will see that the completed ballot has been returned to the clerk's office. If the clerk determined a returned ballot has a problem, such as the completion of the certification on the envelope and records this information in WisVote, MyVote will indicate there is an issue with the ballot and that the voter should contact their clerk for resolution in order for the vote to be counted.

3. Implementation and Next Steps

WEC staff began receiving data files from USPS with scan event data on June 18, 2020. Data generated from the scans are aggregated into files that are imported into WisVote and associated to the ballot every four hours. Scan event information on each absentee ballot envelope with an IMB is visible to clerks through WisVote where they can see when the ballot was received by USPS, where the ballot was last scanned, and the anticipated delivery date of an absentee ballot envelope. WisVote will use the first scan event to populate the

"Date Ballot Sent" field on a ballot. When scan information is available, a clerk can view the last city, state, and zip code for the last scan event through the voter registration system. This information is not visible on MyVote, but a clerk may reference it and share it with voters.

Since the implementation of IMBs, clerks are using the USPS data to confirm a ballot was inducted into the mail system. In the first few weeks of use, it was discovered that some local postmasters withheld absentee ballot mail for local delivery. In these cases, no scan occurred as scanning typically happens at the regional mail processing center. Clerks notified WEC staff in these cases, who then notified USPS representatives who had individual conversations with these postmasters to follow proper mail processing procedures. Holding mail for local delivery will reduce the amount of time it takes for a ballot to be delivered; however, it removes transparency into the status of the ballot in the mail stream. While IMBs can provide verification that a clerk charged with fulfilling absentee ballot requests did indeed place a ballot in the mail, their use cannot be mandated or expected on every absentee ballot. While WisVote provides a method to print labels for absentee ballot envelopes, it is up to the local municipal clerk to decide to use these labels. Additionally, many clerks do not have direct access to WisVote and instead rely on another municipal clerk to complete their data entry duties in the voter registration system. There are also some local postal branches who may not have the ability to do intake scans and the IMB will not begin tracking until it reaches a regional sorting center. WEC staff continues to explore opportunities to convey these nuances to voters through the MyVote interface.

On June 24, staff found around 30,000 of 1.1 million absentee applications were associated with an "invalid" IMB. This means that USPS will be unable to decode the IMB and transmit updates on the status; however, these absentee ballot envelopes would still navigate the mail as previously done without an IMB. The root issue was resolved on the same day for any future applications or ballots. These absentee ballot records were flagged in the voter registration system on July 2 and communicated to clerks as invalid through a post and email from WisVote News. Staff also worked with the City of Milwaukee, United Mail Service, and the USPS to investigate 270 ballots not displaying USPS updates. Voters associated to these ballots also contacted the commission to report they had not arrived more than 10 days after ballots in the rest of the batch hit the mail stream. After investigation with all parties, the ballots were not located and therefore reissued by the City of Milwaukee. Through this investigation, United Mail service suggested WEC update the formula for the IMB numeric to better serve municipalities using a third-party service and more clearly indicate the mail piece as ballot mail. WEC staff are currently running a small pilot with this new formula and expect it to be in use on a portion of ballots for the August Primary. This update will allow clerks to more easily verify the number of ballot mail pieces processed by third parties like United Mail Service and Pitney-Bose against the number of ballots issued by their office.

USPS has also advised WEC to revise the IMB number formula to use 9 or 11 digit zip codes instead of 5. Using the additional 4 "add-on" digits would allow for automated processing for delivery routes, however these digits change frequently and would require WisVote addresses to constantly update. Addressing in WisVote is not currently built to update when a new postal route is assigned to an address. WEC staff are interested in exploring the options for more frequent address updates to include this information for the future, however a large update to something as foundational to elections as home addresses is not advised ahead of the November election.

E. Reports and Quality Assurance

Moving forward, clerks are encouraged to analyze the data provided through USPS scans to ensure all valid voter requests are fulfilled. WEC staff have developed and are currently testing an "Absentee Stats, Gaps, and Totals" report. This report will provide statistics such as number of ballots issued or number of in-person absentee voters on a per day basis, in addition to total numbers for the specific election specified for the report.

The report will also focus on three areas of action for a clerk: 1. applications without ballots 2. ballots without a date ballot sent and 3. mailed absentee ballots without any USPS data for seven or more days. These reports will provide lists of names to help clerks identify specific voters who may need to be contacted for follow up on photo ID issues, where ballots need to be issued, ballots that are missing critical data, and ballots that should be investigated and potentially re-issued (USPS has advised seven days is a reasonable amount of time to expect scan information). WEC staff intend for this report to be available by September.

Clerks may bring issues with mailed ballots not receiving USPS updates to WEC and USPS for additional investigation. Ultimately it is the clerk's responsibility to ensure all voters receive their ballot and can decide to contact or re-issue a ballot to a voter at any time. WEC staff and USPS partners are continuing to monitor the IMBs as they generate and move through the mail system for the 2020 Partisan Primary.

F. Reliers and Providers

After the April election, staff spent significant time upgrading WisVote system processes as described above, however, at least half of all Wisconsin municipalities do not have a WisVote user in their clerk's office. The municipalities are referred to as "reliers" as they rely on "providers," other clerks (typically a county clerk), to complete WisVote data entry. Reliers are typically part-time clerks who may not have reliable internet access required to access WisVote. Providers agree to take on the role of data entry and WisVote system management for relier clerks in exchange for a small fee, typically documented in a memorandum of understanding. These clerks manage absentees through hand-written addresses on absentee ballot envelopes and carefully maintained spreadsheets called absentee ballot logs passed between the relier and provider.

To meet the unique needs of this group of clerks, WEC staff meet on a weekly basis with a Relier and Provider discussion group. The group began meeting in early June. Both reliers and providers would like to provide a method where relier clerks have access to address labels that include an IMB. While it is currently possible for a provider clerk to use WisVote to generate absentee ballot address labels for the absentee requests and ballot records they are already maintaining on behalf of the relier, it is unrealistic to expect provider clerks to offer this service. Most providers are managing WisVote data entry for multiple municipalities. Additionally, a municipal clerk is bound by statutes to fulfill valid absentee ballot requests within 48 hours of receiving it – which does not allow enough turn-around time for a provider to complete data entry and provide the address labels with the IMB back to the relier clerk.

The easiest way for a relier clerk to use address labels with IMBs is to become a self-provider, or someone who completes their own WisVote duties. This is not a simple ask of part-time clerks with limited internet access. While WEC staff do encourage those who are able to become WisVote users to do so, we also continue to work with the relier and provider discussion group to improve the Absentee Ballot Log shared between reliers and providers. Ideally, the group can design a document that is very easy for a relier clerk to update and is set up for easy data entry for provider clerks. Long-term, WEC staff would like to allow this document to import into WisVote to reduce data entry requirements on providers. Perhaps with the data entry step for absentee ballot logs eliminated, it will be more tenable for provider clerks to use the returned time to assist in providing absentee ballot address labels to their reliers within prescribed statutory timelines.

G. Conclusion

WEC staff has made several changes to the absentee voting process since the April and May elections which have already improved clerk and voter processes related to absentee by mail voting. Data collected through these new processes, specifically data from USPS, will be used to monitor absentee ballot envelopes in the mail stream to ensure all valid requests are fulfilled for the requesting voters. WEC staff and clerks will continue to optimize and monitor these system improvements in the lead up to the November election; however, a stable

system is crucial to supporting all election processes. WEC staff expect to initiate a system change freeze in early September, after which only emergency changes will be allowed to WisVote or MyVote. Changes will be limited, evaluated for risk, and thoroughly tested before deployment.